Applicant:

WILLIAM A. GARDNER; PATRICK M. WHELAN; ALAN VIRDEE; PAUL K. NOVAK

Serial No.:

10/443,461

Filed: Title:

MAY 21, 2003 SYSTEMS, DEVICES AND METHODS FOR OPENING A BOTTLE SEALED WITH A

STOPPER AND FOR SEALING A BOTTLE

Group:

Examiner:

Docket No.:

GAR1756.17A3

COMBINED DECLARATION AND POWER OF ATTORNEY

(ORIGINAL, DESIGN, SUPPLEMENTAL, NATIONAL STAGE OF PCT, DIVISIONAL, CONTINUATION OR CONTINUATION-IN-PART)

As a below named inventor, I hereby declare that:

TYPE OF DECLARATION

This declaration is of the following type:

X original (regular)

__ design

supplemental-continuation of PCT Application in the U.S.

NOTE:

If the declaration is for an International Application being filed as a divisional, continuation, or continuation-in-part, do not check the next item; check appropriate one of last three items.

_ national stage of PCT

divisional

_ continuation

X continuation-in-part

INVENTORSHIP IDENTIFICATION

WARNING:

If the inventors are each not the inventors of all of the claims, an explanation of the facts, including the ownership of all the claims at the time the last claimed invention was made, should be submitted.

My residence, post office address, and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention described in

| SP | ECIFIC | ATION | IDENT | IFICA | TION |
|----|--------|-------|-------|-------|------|
| | | | | | |

| | SPECIFICATION | IDENTIFICATION | אכ | | |
|---------------------------------|--|-------------------------------------|-------------------------------|-----------------|---------------------------|
| [] the specification filed I | nerewith by the above-nam | ed inventors, wit | th the title lis | ted above. | |
| [] the specification filed l | nerewith by the above-nam | ed inventors, wit | th the title lis | ted above, a | nd which |
| was amended by the I | Preliminary Amendment file | ed herewith. | | | |
| [] the specification identi | fied above, as amended by | y the Preliminary | Amendmer | t filed herew | ith. |
| [X] the specification ident | ified above, and which was | amended on | MAY 21, | 2003 . | |
| [] PCT International App | lication No. | filed on | | , and a | mended |
| under PCT Article 19 | on (if a | oplicable). | | | |
| ACKNOW | EDGMENT OF REVIEW | OF PAPERS AN | D DUTY OF | CANDOR | |
| | eviewed and understand to | | | • | ication. |
| | nended by any amendmer | • | | | , |
| • | | | | | |
| I acknowledge the duty to 1.56. | disclose information which | is material to pa | atentability a | s defined in | 37 C.F.R. § |
| | PRIORITY CLAIM (3 | 5 U.S.C. § 119(a | a)-(d)) | | |
| I hereby claim foreign prio | rity benefits under 35 U.S. | C. §§ 119(a)-(d) | of any forei | gn application | n(s) for |
| patent or inventor's certific | cate or under 35 U.S.C. § 3 | 65(b) of any PC | T internation | nal applicatio | n(s) |
| designating at least one c | ountry other than the Unite | d States of Ame | rica listed be | elow, and ha | ve also |
| identified below any foreig | n application(s) or inventor | 's certificate or a | any PCT inte | rnational ap | plication(s) |
| designating at least one c | ountry other than the Unite | d States of Ame | rica filed by | me on the sa | ame subject |
| matter having a filing date | before that of the applicat | on(s) of which p | riority is clai | med. | |
| <u>X</u> n | o such applications have b | een filed. | | | |
| s | uch application have been | filed as follows. | | | |
| PRIOR FOREIGN/PCT APPLIC | ATION(S) FILED WITHIN 12 MO AND ANY PRIORITY CLAIMS | NTHS (6 MONTHS F UNDER 35 U.S.C. | FOR DESIGN) (§ 119(a)-(d) | PRIOR TO THIS | S APPLICATION |
| COUNTRY (OR INDICATE IF PCT) | APPLICATION NUMBER | FILING E (day, mont | | UNDER 37 | Y CLAIMED U.S.C. § 119 |
| | | | | YES | NO |
| | | | | _YES | _ NO |
| | | | | YES | NO |
| | | | | YES | NO |

CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S) (35 U.S.C. § 119(e))

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional applications (s) listed below:

PROVISIONAL APPLICATION SERIAL NUMBER

FILING DATE OF PROVISIONAL APPLICATION

| 60/443,020 | JANUARY 27, 2003 |
|------------|------------------|
| 60/382,410 | MAY 21, 2002 |
| | |

CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATIONS **UNDER 35 U.S.C. 120**

(complete this part only if this is a divisional, continuation, CIP or national stage of PCT)

I/We hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability under 37 C.F.R.§ 1.56, which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS **DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120:**

1. **U.S. Applications:**

| SERIAL NUMBER | FILING DATE |
|---------------|-------------------|
| 10/306,633 | NOVEMBER 27, 2002 |
| 09/760,375 | JANUARY 12, 2001 |
| 09/273,838 | MARCH 22, 1999 |
| 08/746,799 | NOVEMBER 18, 1996 |
| | · |

2. **PCT Applications Designating The U.S.**

| PCT APPLICATION NUMBER | PCT FILING DATE | U.S. SERIAL NUMBER |
|------------------------|-----------------|--------------------|
| | | |
| | | |
| | | |

POWER OF ATTORNEY

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the United States Patent and Trademark Office connected therewith.

| John P. O'Banion | Registration No. 33,201 |
|----------------------|-------------------------|
| James M. Ritchey | Registration No. 32,594 |
| Steven L. Smith | Registration No. 44,343 |
| Rodger H. Rast | Registration No. 45,853 |
| Richard M. Wiesner | Registration No. 48,748 |
| James C. Peacock III | Registration No. 40,124 |

Attached as part of this declaration and power of attorney is the authorization of the above-named attorney to accept and follow instructions from my representative(s).

SEND CORRESPONDENCE TO:

DIRECT TELEPHONE CALLS TO:

CUSTOMER NUMBER 8156

John P. O'Banion O'BANION & RITCHEY LLP 400 Capitol Mall, Suite 1550 Sacramento, CA 95814 John P. O'Banion (916) 498-1010

DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

SIGNATURE(S)

| Full name of first inventor: | WILLIAM A. GARDNER | |
|--|--|--|
| Inventor's Signature: | Landan | |
| Date: June 11 2003 | Country of Citizenship: UNITED STATES | |
| Residence: | YOUNTVILLE, CALIFORNIA 94599 | |
| P.O. Address: | 6950 YOUNT STREET YOUNTVILLE, CA 94599 | |
| Full name of second joint inventor: Inventor's Signature: | | |
| Date: <u>JUNE 13, 2003</u> | Country of Citizenship: UNITED STATES | |
| Residence: | VACAVILLE, CALIFORNIA 95688 | |
| P.O. Address: | 318 KILGARVAN COURT VACAVILLE, CA 95688 | |

| Full name of th | ird inventor: | ALAN YIRDEE | |
|--------------------------------------|---|---|--|
| Inventor's Signa | ature: | | |
| Date: <u>6</u> | /18/03 | Country of Citizenship: UNITED STATES | |
| Residence: | | RIO LINDA, CALIFORNIA 95673 | |
| P.O. Address: | | 417 L STREET RIO LINDA, CA 95673 | |
| Full name of for Inventor's Signa | urth joint inventor: | PAUL K. NOVAK Novak | |
| Date: | 13/2003 | Country of Citizenship: UNITED STATES | |
| Residence: | , | NAPA, CALIFORNIA 94558 | |
| P.O. Address: | · | 3448 YOUNG AVENUE NAPA, CA 94558 | |
| CHECK PROPI | ER BOX(ES) FOR ANY (| OF THE FOLLOWING ADDED PAGE(S) WHICH FORM A PART OF THIS DECLARATION | |
| _ | Signature for third and s | subsequent joint inventors. es added | |
| _ | Signature by administrator(trix), executor(trix) or legal representative for deceased or incapacitated inventor. Number of pages added | | |
| _ | Signature for inventor w under 37 CFR 1.47. Number of page | who refuses to sign or cannot be reached by person authorized es added | |
| _ | representative cannot b | nventor on behalf of deceased inventor(s) where legal e appointed in time under 37 CFR 1.47. es added | |
| _ | Authorization of attorne | y(s) to accept and follow instructions from representative. | |

This Declaration ends with this page.

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